

# Subgroups of the upper-triangular matrix group with maximal derived length and a minimal number of generators

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ABSTRACT. The group  $U_n(\mathbb{F})$  of all  $n \times n$  unipotent upper-triangular matrices over  $\mathbb{F}$  has derived length  $d := \lceil \log_2(n) \rceil$ , equivalently  $2^{d-1} < n \leq 2^d$ . We prove that  $U_n(\mathbb{F})$  has a 3-generated subgroup of derived length  $d$ , and it has a 2-generated subgroup of derived length  $d$  if and only if  $\frac{21}{32}2^d < n \leq 2^d$ .

## 1. INTRODUCTION

Let  $\mathbb{F}$  be a field and let  $U_n(\mathbb{F})$  (or  $U_n$ ) denote the group of  $n \times n$  upper-triangular matrices over  $\mathbb{F}$  with 1's on the main diagonal and 0's below. If  $2^{d-1} < n \leq 2^d$ , then  $U_n$  has derived length  $d$  and has a subgroup generated by  $n - 1$  elements which also has derived length  $d$  (see [3]). We show in Theorem 2 that  $U_n$  has a 3-generated subgroup with derived length  $d$ . In Theorem 6 we show that  $U_n$  has a 2-generated subgroup of derived length  $d$  if and only if  $\frac{21}{32}2^d < n \leq 2^d$ . It follows that the proportion,  $\pi(N)$ , of  $n \leq N$  such that  $U_n$  has a 2-generated subgroup of maximal derived length satisfies  $\frac{11}{21} < \pi(N) \leq 1$ ,  $\liminf \pi(N) = \frac{11}{21}$  and  $\limsup \pi(N) = \frac{11}{16}$ . Theorems 2 and 6 are constructive in the sense that the generating matrices are explicitly given by recursive formulas.

We shall now introduce some notation and state some well-known properties of  $U_n$  (see [3]). The  $k$ th term of the lower central series for  $U_n$ , denoted  $\gamma_k(U_n)$ , comprises the matrices  $(a_{i,j}) \in U_n$  with  $a_{i,j} = 0$  if  $0 < j - i < k$ . Furthermore, the  $k$ th term in the derived series for  $U_n$  is  $U_n^{(k)} = \gamma_{2^k}(U_n)$ .

In the sequel we shall assume that  $d = \lceil \log_2(n) \rceil$  and consider subgroups  $G$  of  $U_n$  where  $G^{(d-1)}$  is not trivial. Let  $1 \leq i < j \leq n$  and let  $X_{i,j} \in U_n$  be the matrix obtained by adding row  $j$  of the identity matrix,  $I$ , to row  $i$  (so its  $(i, j)$ th entry is 1). Then

$$[X_{i,j}, X_{k,\ell}] = X_{i,j}^{-1} X_{k,\ell}^{-1} X_{i,j} X_{k,\ell}$$

equals  $I$  if  $j < k$ , and equals  $X_{i,\ell}$  if  $j = k$ . In order to show that  $U_n$  has derived length  $d$  for all  $n$  satisfying  $2^{d-1} < n \leq 2^d$ , it suffices to show that  $\langle X_{1,2}, X_{2,3}, \dots, X_{n-1,n} \rangle$  has derived length  $d$  when  $n = 2^{d-1} + 1$ . The latter can be proved using induction on  $d$  based

on the following reasoning

$$\begin{aligned} X_{1,9} &= [X_{1,5}, X_{5,9}] \\ &= [[X_{1,3}, X_{3,5}], [X_{5,7}, X_{7,9}]] \\ &= [[[X_{1,2}, X_{2,3}], [X_{3,4}, X_{4,5}]], [[X_{5,6}, X_{6,7}], [X_{7,8}, X_{8,9}]]]. \end{aligned}$$

At the heart of this proof is a binary tree with  $d$  layers and  $2^d - 1$  vertices. The vertices at layer  $k$  are the elements  $X_{1+(i-1)2^{k-1}, 1+i2^{k-1}}$  of  $U_n^{(k-1)}$ . If  $j = 1 + (i-1)2^{k-1}$ , then the vertices  $X_{j, j+2^{k-1}}$  and  $X_{j+2^{k-1}, j+2^k}$  of layer  $k$  are joined to  $X_{j, j+2^k}$  on the next layer.

## 2. 3-GENERATED SUBGROUPS

The idea behind the proof of Theorem 2 is to “re-cycle” vertices of the above binary tree. For example, the four matrices  $X_{1,2}, X_{2,3}, X_{3,4}, X_{4,5}$  are not needed to show that  $X_{1,5} \in U_5^{(2)}$ : three matrices suffice as

$$[[X_{1,2}, X_{2,3}X_{3,4}], [X_{2,3}X_{3,4}, X_{4,5}]] = [X_{1,3}X_{1,4}, X_{3,5}] = X_{1,5}.$$

The graph at the heart of the proof of Theorem 2 has fewer vertices than the complete bipartite binary tree with  $2^d - 1$  vertices. It has  $d$  layers with 3 vertices per layer, where the vertices of layer  $k$  correspond to elements of  $G^{(k-1)}$ . Let  $A, B, C$  be the matrices corresponding to the vertices of layer  $k$ . Then the commutators  $[B, C], [C, A], [A, B]$  correspond to the vertices of layer  $k+1$ . Thus the edges between layers  $k$  and  $k+1$  form a bipartite graph  $K$ , and the full graph is obtained by joining  $d-1$  copies of  $K$  end-to-end. Our objective is to inductively construct three layer 1 matrices, so that at least one of the layer  $d$  matrices is non-trivial.

Let  $F$  be the free group  $\langle x_1, x_2, x_3 \mid \rangle$  of rank 3. The following lemma was much harder to conceive than to prove.

**Lemma 1.** *Let  $d$  be a positive integer, and let  $n = 2^{d-1} + 1$ . Then there exist matrices  $A_n, B_n, C_n \in U_n$  and a word  $w_n(x_1, x_2, x_3) \in F^{(d-1)}$  such that*

$$(1) \quad w_n(A_n, B_n, C_n) = X_{1,n}, \quad w_n(B_n, C_n, A_n) = I, \quad \text{and} \quad w_n(C_n, A_n, B_n) = I.$$

*Proof.* The proof uses induction on  $d$ . When  $d = 1$ , take  $w_2(x_1, x_2, x_3) = x_1$  and  $A_2 = X_{1,2}, B_2 = C_2 = I$ . (More generally, if  $r^3 + s^3 + t^3 - 3rst \neq 0$  in  $\mathbb{F}$  where  $r, s, t \in \mathbb{Z}$ , then we may take  $w_2 = x_1^r x_2^s x_3^t$  and find  $A_2, B_2, C_2 \in U_2$  such that (1) holds.) Suppose that  $A_n, B_n, C_n \in U_n$  and  $w_n \in F^{(d-1)}$  satisfy (1). We shall construct appropriate  $A_{2n-1}, B_{2n-1}, C_{2n-1}$  and  $w_{2n-1}$ . Now  $n = 2^{d-1} + 1$  and  $2n - 1 = 2^d + 1$ . There is a surjective homomorphism

$$\pi: U_{2n-1} \rightarrow U_n \times U_n \quad \text{given by} \quad \pi(A) = (\lambda(A), \rho(A)),$$

where  $\lambda(A)$  is the upper-left  $n \times n$  submatrix of  $A$ , and  $\rho(A)$  is the lower-right  $n \times n$  submatrix of  $A$ . Note that  $\lambda(A)$  and  $\rho(A)$  overlap at the  $(n, n)$ th entry of  $A$ , which is a 1.

Choose  $A_{2n-1}, B_{2n-1}, C_{2n-1} \in U_{2n-1}$  such that

$$\pi(A_{2n-1}) = (A_n, B_n), \quad \pi(B_{2n-1}) = (B_n, C_n), \quad \pi(C_{2n-1}) = (C_n, A_n).$$

Clearly  $A_{2n-1}, B_{2n-1}$  and  $C_{2n-1}$  are not uniquely defined. (A different choice may be obtained by multiplying by an element of  $\ker(\pi) \cong \mathbb{F}^{(n-1)^2}$ .) Define  $w_{2n-1}$  by

$$w_{2n-1}(x_1, x_2, x_3) = [w_n(x_1, x_2, x_3), w_n(x_3, x_1, x_2)].$$

Clearly,  $w_{2n-1} \in F^{(d)}$ .

Consider  $w_{2n-1}(A_{2n-1}, B_{2n-1}, C_{2n-1})$ . Now

$$\begin{aligned} \pi(w_n(A_{2n-1}, B_{2n-1}, C_{2n-1})) &= w_n(\pi(A_{2n-1}), \pi(B_{2n-1}), \pi(C_{2n-1})) \\ &= (w_n(A_n, B_n, C_n), w_n(B_n, C_n, A_n)) \\ &= (X_{1,n}, I). \end{aligned}$$

Similarly,

$$\begin{aligned} \pi(w_n(B_{2n-1}, C_{2n-1}, A_{2n-1})) &= (I, I) \quad \text{and} \\ \pi(w_n(C_{2n-1}, A_{2n-1}, B_{2n-1})) &= (I, X_{1,n}). \end{aligned}$$

Now  $\pi(X_{1,n}) = (X_{1,n}, I)$  and  $\pi(X_{n,2n-1}) = (I, X_{1,n})$ . (Here we can tell from the context whether  $X_{1,n}$  lies in  $U_{2n-1}$  or  $U_n$ .) Therefore

$$\begin{aligned} w_n(A_{2n-1}, B_{2n-1}, C_{2n-1}) &= X_{1,n}Z_1, \\ w_n(B_{2n-1}, C_{2n-1}, A_{2n-1}) &= Z_2, \quad \text{and} \\ w_n(C_{2n-1}, A_{2n-1}, B_{2n-1}) &= X_{n,2n-1}Z_3 \end{aligned}$$

where  $Z_1, Z_2, Z_3 \in \ker(\pi)$ . Since  $\ker(\pi)$  is abelian, and is centralized by both  $X_{1,n}$  and  $X_{n,2n-1}$ , it follows that

$$\begin{aligned} w_{2n-1}(A_{2n-1}, B_{2n-1}, C_{2n-1}) &= [X_{1,n}Z_1, X_{n,2n-1}Z_3] \\ &= [X_{1,n}, X_{n,2n-1}] = X_{1,2n-1}, \\ w_{2n-1}(B_{2n-1}, C_{2n-1}, A_{2n-1}) &= [Z_2, X_{1,n}Z_1] = I, \\ w_{2n-1}(C_{2n-1}, A_{2n-1}, B_{2n-1}) &= [X_{n,2n-1}Z_3, Z_2] = I. \end{aligned}$$

This completes the induction, and the proof.  $\square$

Recall the observation that  $U_n(\mathbb{F})$  has derived length  $d := \lceil \log_2(n) \rceil$ , and the subgroup  $\langle X_{1,2}, X_{2,3}, \dots, X_{n-1,n} \rangle$  has  $n-1$  generators and derived length  $d$ .

**Theorem 2.** *The group  $U_n(\mathbb{F})$  of  $n \times n$  upper-triangular matrices over a field  $\mathbb{F}$  with all eigenvalues 1, has a 3-generated subgroup whose derived length is  $d := \lceil \log_2(n) \rceil$ . Furthermore, if  $n \leq \frac{5}{8}2^d$  then  $U_n(\mathbb{F})$  has no 2-generated subgroup of derived length  $d$ .*

*Proof.* Set  $m = 2^{d-1} + 1$ . Then both  $U_m$  and  $U_n$  have derived length  $d$ . By Lemma 1,  $U_m$  has a 3-generated subgroup with derived length  $d$ , and hence so too does  $U_n$ , as  $U_n$  has a subgroup isomorphic to  $U_m$ .

If  $d < 3$ , then there are no integers in the range  $\frac{1}{2}2^d < n \leq \frac{5}{8}2^d$ . Suppose  $d \geq 3$  and  $G = \langle A, B \rangle$  is a 2-generated subgroup of  $U_n$  where  $\frac{1}{2}2^d < n \leq \frac{5}{8}2^d$ . Then

$$\gamma_2(G)/\gamma_3(G) = \langle [A, B]\gamma_3(G) \rangle$$

is cyclic, and therefore

$$G^{(2)} = [\gamma_2(G), \gamma_2(G)] = [\gamma_2(G), \gamma_3(G)] \subseteq \gamma_5(G).$$

A simple induction shows  $G^{(d-1)} \subseteq \gamma_{5 \cdot 2^{d-3}}(G)$  for  $d \geq 3$ . Since  $n \leq 5 \cdot 2^{d-3}$ , we have

$$G^{(d-1)} \subseteq \gamma_{5 \cdot 2^{d-3}}(G) \subseteq \gamma_n(G) \subseteq \gamma_n(U_n) = \{I\}.$$

Therefore  $G$  has derived length less than  $d$ . □

In the above proof, there were choices for  $A_2, B_2, C_2$  and for the subsequent generators  $A_n, B_n, C_n$  where  $n = 2^{d-1} + 1$ . However, once  $A_2, B_2$  and  $C_2$  were specified, the  $(i, i+1)$  entries of  $A_n, B_n, C_n$  ( $d > 1$ ) were determined, but the  $(i, j)$  entries with  $j - i > 1$  could be arbitrary. It should not surprise the reader that different choices for  $A_2, B_2$  and  $C_2$  can yield different subgroups  $\langle A_n, B_n, C_n \rangle$ .

We shall give an example of a 2-generated group  $G = \langle A, B \rangle$  of  $U_n$  that shows that both the derived length and the order can depend on  $\mathbb{F}$ . Let  $G$  be the subgroup  $\langle A, B \rangle$  of  $U_6$  where  $A = X_{1,2}X_{5,6}$  and  $B = X_{2,3}X_{3,4}^{-1}X_{4,5}$ , and suppose that  $\text{char}(\mathbb{F}) = p$  is prime. It follows from  $[[[B, A], B], [B, A]] = X_{1,6}^2$  and  $[[[B, A], A], [B, A]] = I$  that  $G$  is metabelian if  $p = 2$ , and has derived length 3 if  $p > 2$ . Furthermore,  $|G| = p^7$  if  $p = 2, 3$  and  $|G| = p^6$  if  $p > 3$ . In the latter case  $G$  has maximal class (see [1, p. 61]).

### 3. 2-GENERATED SUBGROUPS

Suppose that  $\frac{5}{8}2^d < n \leq 2^d$ . It is natural to ask whether  $U_n(\mathbb{F})$  has a 2-generated subgroup of derived length  $d$ . If  $U_m(\mathbb{F})$  has a 2-generated subgroup of derived length  $d$ , then so too does  $U_n(\mathbb{F})$  all  $n$  satisfying  $m \leq n \leq 2^d$ . In this section we show that the smallest value of  $m$  for which  $U_m$  has a 2-generated subgroup of derived length  $d$  is  $m = \lfloor \frac{21}{32}2^d \rfloor + 1$ . This is clearly the case if  $0 \leq d < 3$ . Henceforth assume that  $d \geq 3$ .

Let  $F = \langle a, b \mid \rangle$  denote a free group of rank 2. Then  $\gamma_r(F)/\gamma_{r+1}(F)$  is an abelian group, for each positive integer  $r$ , which is freely generated by the basic commutators of weight  $k$  (see [2]). Thus a typical element of  $\gamma_2(F)/\gamma_4(F)$  has the form  $[b, a]^i [b, a, a]^j [b, a, b]^k \gamma_4(F)$ , where  $[b, a, a]$  and  $[b, a, b]$  denote left-normed commutators, i.e.  $[[b, a], a]$  and  $[[b, a], b]$  respectively. We shall need three lemmas in the sequel. Lemmas 3 and 4 are standard so we omit their proofs.

**Lemma 3.** *Let  $x, x' \in \gamma_r(F)$  and  $y, y' \in \gamma_s(F)$  where  $x \equiv x' \pmod{\gamma_{r+1}(F)}$  and  $y \equiv y' \pmod{\gamma_{s+1}(F)}$ . Then  $[x, y] \equiv [x', y'] \pmod{\gamma_{r+s+1}(F)}$ .*

Applying Lemma 3 to  $[[b, a]^i [b, a, a]^j [b, a, b]^k, [b, a]^\ell]$  shows that

$$[[b, a, a], [b, a]]\gamma_6(F) \quad \text{and} \quad [[b, a, b], [b, a]]\gamma_6(F)$$

generate  $F^{(2)}\gamma_6(F)/\gamma_6(F)$ .

**Lemma 4.** *Let  $T_{r,n}(\tau_1, \dots, \tau_{n-r})$  denote a coset of  $\gamma_{r+1}(U_n)$  comprising matrices  $(t_{i,j})$  satisfying  $t_{i,j} = 0$  if  $1 \leq j - i < r$ ,  $t_{i,j} = \tau_i$  if  $j - i = r$ , and  $t_{i,j}$  arbitrary if  $j - i > r$ . Then  $[T_{r,n}(\alpha_1, \dots, \alpha_{n-r}), T_{s,n}(\beta_1, \dots, \beta_{n-s})]$  is contained in*

$$T_{r+s,n}(\alpha_1\beta_{1+r} - \alpha_{1+s}\beta_1, \dots, \alpha_{n-r-s}\beta_{n-s} - \alpha_{n-r}\beta_{n-r-s}).$$

How might we go about finding matrices  $A, B \in U_n$  such that  $\langle A, B \rangle$  has derived length  $d$ ? Motivated by the previous section we suspect that the  $(i, i+1)$  entries of  $A$  and  $B$  are important. Let  $A \in T_{1,n}(\alpha_1, \dots, \alpha_{n-1})$  and  $B \in T_{1,n}(\beta_1, \dots, \beta_{n-1})$  where the  $\alpha_i$  and the  $\beta_j$  are regarded as variables. An evaluation homomorphism from the polynomial ring

$$P = \mathbb{Z}[\alpha_1, \dots, \alpha_{n-1}, \beta_1, \dots, \beta_{n-1}]$$

to  $\mathbb{F}$  gives rise to a group homomorphism  $\phi : U_n(P) \rightarrow U_n(\mathbb{F})$ . We shall find a word  $c_{n-1}(a, b) \in \gamma_{n-1}(F) \cap F^{(d-1)}$  and values for the  $\alpha_i$  and  $\beta_j$  in  $\mathbb{F}$  such that  $c_{n-1}(\phi(A), \phi(B))$  equals  $X_{1,n}$  or  $X_{1,n}^{-1}$ .

The first case not excluded by Theorem 2, or already excluded, is  $n = 6$ . Let  $c_5(a, b)$  equal  $[[b, a, a], [b, a]]$ . By repeated application of Lemma 4 the  $(1, 6)$  entry of  $c_5(A, B)$  is

$$\begin{aligned} [c_5(A, B)]_{1,6} &= [[B, A, A], [B, A]]_{1,6} \\ &= [B, A, A]_{1,4}[B, A]_{4,6} - [B, A]_{1,3}[B, A, A]_{3,6} \\ &= -\alpha_1\alpha_2\beta_3\alpha_4\beta_5 + \alpha_1\alpha_2\beta_3\beta_4\alpha_5 + 3\alpha_1\beta_2\alpha_3\alpha_4\beta_5 - 4\alpha_1\beta_2\alpha_3\beta_4\alpha_5 \\ &\quad + \alpha_1\beta_2\beta_3\alpha_4\alpha_5 - 2\beta_1\alpha_2\alpha_3\alpha_4\beta_5 + 3\beta_1\alpha_2\alpha_3\beta_4\alpha_5 - \beta_1\alpha_2\beta_3\alpha_4\alpha_5 \end{aligned}$$

We make some remarks about this polynomial. First each monomial summand has five variables. The variables have distinct subscripts and contain three  $\alpha$ 's and two  $\beta$ 's. The polynomial has integer coefficients and  $[B, A, A]$  contributes two  $\alpha_i$  and one  $\beta_j$  to the first three variables, or to the last three variables of each monomial summand. Similarly,  $[B, A]$  contributes an  $\alpha_i$  and a  $\beta_j$  to the first two variables, or to the last two variables of each monomial summand. Thus, even without computing  $[c_5(A, B)]_{1,6}$ , we know that  $\alpha_1\alpha_2\alpha_3\beta_4\beta_5$  is not a summand. Setting  $\alpha_1 = \alpha_2 = \beta_3 = \alpha_4 = \beta_5 = 1$  and  $\beta_1 = \beta_2 = \alpha_3 = \beta_4 = \alpha_5 = 0$  shows that  $[c_5(\phi(A), \phi(B))]_{1,6} = -1$  and hence  $c_5(\phi(A), \phi(B)) = X_{1,6}^{-1}$ . This proves that  $\langle \phi(A), \phi(B) \rangle$  is a 2-generated subgroup of  $U_6(\mathbb{F})$  of derived length 3 for all fields  $\mathbb{F}$ .

Many of the above remarks generalize *mutatis mutandis* to other words in the subgroup  $\gamma_{n-1}(F) \cap F^{(d-1)}$ . We shall use the following lemma repeatedly.

**Lemma 5.** (Multiplication Lemma) *With the above notation, suppose that  $w \in \gamma_r(F)$ ,  $w' \in \gamma_s(F)$ , and  $[w(A, B)]_{1,1+r}$  and  $[w'(A, B)]_{1,1+s}$  have monomial summands  $m$  and  $m'$  respectively. If  $r \geq s$ , and no monomial summand of  $[w'(A, B)]_{1,1+s}$  divides  $m$ , then  $m\psi_r(m')$  is a monomial summand of  $[[w(A, B), w'(A, B)]]_{1,1+r+s}$  where  $\psi_r(m')$  is the polynomial obtained from  $m'$  by adding  $r$  to each subscript.*

*Proof.* By Lemma 4,  $[[w(A, B), w'(A, B)]]_{1,1+r+s}$  equals

$$[w(A, B)]_{1,1+r}[w'(A, B)]_{1+r,1+r+s} - [w'(A, B)]_{1,1+s}[w(A, B)]_{1+s,1+s+r}$$

and  $m\psi_r(m')$  divides the first term. However, as no monomial summand of  $[w'(A, B)]_{1,1+s}$  divides  $m$ , it follows that  $m\psi_r(m')$  is a monomial summand of  $[[w(A, B), w'(A, B)]]_{1,1+r+s}$  as desired.  $\square$   $\square$

By Theorem 2, the next case of interest is when  $n = 11$ . Mimicking the  $n = 6$  case, we seek a word  $c_{10}(a, b) \in \gamma_{10}(F) \cap F^{(3)}$  such that the polynomial  $[c_{10}(A, B)]_{1,11}$  has a monomial summand with coefficient  $\pm 1$ . We then assign the value of 1 to the variables in this summand, and zero to the variables not in the summand. Since  $F^{(2)}\gamma_6(F)/\gamma_6(F)$  has two generators, it follows from Lemma 3 that  $F^{(3)}\gamma_{11}(F)/\gamma_{11}(F) = \langle c_{10}(a, b)\gamma_{11}(F) \rangle$  is cyclic where

$$c_{10}(a, b) = [[ [b, a, b], [b, a] ], c_5(a, b)] = [[ [b, a, b], [b, a] ], [ [b, a, a], [b, a] ]].$$

We abbreviate the phrase “ $m$  is a monomial summand of  $p$ ” by “ $m \in p$ ”. Now

$$\begin{aligned} m_5 &= \beta_1\beta_2\alpha_3\alpha_4\beta_5 \in [[ [B, A, B], [B, A] ]]_{1,6} \quad \text{and} \\ m'_5 &= \alpha_1\alpha_2\beta_3\beta_4\alpha_5 \in [c_5(A, B)]_{1,6}. \end{aligned}$$

Hence by Lemma 5

$$m_{10} = m_5\psi_5(m'_5) = \beta_1\beta_2\alpha_3\alpha_4\beta_5\alpha_6\alpha_7\beta_8\beta_9\alpha_{10} \in [c_{10}(A, B)]_{1,11}.$$

Setting  $\beta_1 = \beta_2 = \alpha_3 = \dots = \alpha_{10} = 1$  and  $\alpha_1 = \alpha_2 = \beta_3 = \dots = \beta_{10} = 0$  shows that  $U_{11}$  has a 2-generated subgroup of derived length 4.

**Theorem 6.** *Let  $d = \lceil \log_2(n) \rceil$ . Then  $U_n$  has a 2-generated subgroup of derived length  $d$  if and only if  $\frac{21}{32}2^d < n \leq 2^d$ .*

*Proof.* Suppose that  $U_n$  has a 2-generated subgroup  $G$  of derived length  $d$ . It follows from Theorem 2 that  $\frac{5}{8}2^d < n \leq 2^d$ . However, if  $0 \leq d < 5$  then  $\lfloor \frac{5}{8}2^d \rfloor = \lfloor \frac{21}{32}2^d \rfloor$ . Hence  $\frac{21}{32}2^d < n \leq 2^d$  for  $d < 5$ . Suppose now that  $d \geq 5$ . We showed in the preamble to this theorem that  $F^{(3)}\gamma_{11}(F)/\gamma_{11}(F)$  is cyclic. Hence by Lemma 3,  $F^{(4)} \subseteq \gamma_{21}(F)$ . For  $d \geq 5$ , a simple induction shows that  $F^{(d-1)} \subseteq \gamma_{21 \cdot 2^{d-5}}(F)$ . Since  $G^{(d-1)} \subseteq \gamma_{21 \cdot 2^{d-5}}(G)$  and  $\gamma_n(G) = \{I\}$  it follows that  $21 \cdot 2^{d-5} < n \leq 2^d$  as desired.

Conversely, suppose  $\frac{21}{32}2^d < n \leq 2^d$ . If  $d = 0, 1, 2, 3, 4$ , then the values of  $n = \lfloor \frac{21}{32}2^d \rfloor + 1$  are 1, 2, 3, 6, 11 respectively. In each of these cases we have shown that  $U_n$  has a 2-generated subgroup of derived length  $d$ . Suppose henceforth that  $d \geq 5$ . We shall give a

recursive procedure for constructing a 2-generated subgroup of  $U_n$ . It suffices to do this for  $n = 21 \cdot 2^{d-5} + 1$ .

We use induction on  $d$ . The initial case when  $d = 5$  and  $n = 22$  requires the most lengthy calculations. Note that the hypothesis in Lemma 5 that no monomial summand of  $[w'(A, B)]_{1,1+s}$  divides  $m$  is easily verified in the case when the first  $s$  variables of  $m$  have a different number of  $\alpha$ 's than one (and hence every) summand of  $[w'(A, B)]_{1,1+s}$ . A lengthy argument which repeatedly uses this observation and the Multiplication Lemma shows that

$$\begin{aligned} m_{21} &= -\alpha_1\alpha_2\alpha_3\beta_4\beta_5\alpha_6\psi_6(m_5)\psi_{11}(m_{10}) \in c_{21}(a, b) \\ m'_{21} &= \alpha_1\alpha_2\beta_3\beta_4\beta_5\alpha_6\psi_6(m_5)\psi_{11}(m_{10}) \in c'_{21}(a, b) \\ m''_{21} &= -\beta_1\beta_2\beta_3\alpha_4\alpha_5\beta_6\psi_6(m_5)\psi_{11}(m_{10}) \in c''_{21}(a, b) \end{aligned}$$

where

$$\begin{aligned} c_{21}(a, b) &= [[ [ [ [b, a, a, a], [b, a] ], c_5(a, b) ], c_{10}(a, b) ] \\ c'_{21}(a, b) &= [[ [ [ [b, a, a, b], [b, a] ], c_5(a, b) ], c_{10}(a, b) ] \\ c''_{21}(a, b) &= [[ [ [ [b, a, b, b], [b, a] ], c_5(a, b) ], c_{10}(a, b) ]. \end{aligned}$$

This proves the result for  $d = 5$  because the polynomial  $[c_{21}(A, B)]_{1,22}$  has a monomial summand with coefficient  $\pm 1$ . The number of  $\alpha$ 's in  $m_{21}$ ,  $m''_{21}$ ,  $m'_{21}$  is congruent to 0, 1, 2 modulo 3 respectively, and so by the Multiplication Lemma

$$\begin{aligned} m'_{21}\psi_{21}(m''_{21}) &\in d_{21}(a, b) = [c'_{21}(a, b), c''_{21}(a, b)] \\ m''_{21}\psi_{21}(m_{21}) &\in d'_{21}(a, b) = [c''_{21}(a, b), c_{21}(a, b)] \\ m_{21}\psi_{21}(m'_{21}) &\in d''_{21}(a, b) = [c_{21}(a, b), c'_{21}(a, b)]. \end{aligned}$$

The argument may be applied repeatedly as the number of  $\alpha$ 's occurring in  $m'_{21}\psi_{21}(m''_{21})$ ,  $m''_{21}\psi_{21}(m_{21})$ ,  $m_{21}\psi_{21}(m'_{21})$  is congruent to 0, 1, 2 modulo 3 respectively. This completes the inductive proof.  $\square$   $\square$

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